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CONFIRMATION NO. 1374

<b>SERIAL NUMBER</b> 09/668,255	<b>FILING OR 371(c) DATE</b> 09/21/2000 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3694	<b>ATTORNEY DOCKET NO.</b> 2955/103
<b>APPLICANTS</b> Michael H. Evelyn, Far Hills, NJ; Robert S. Goldberg, Brookville, NJ; Jordan M. Hayes, Berkeley, CA; Cliff M. Gerrish, San Francisco, CA; Othar Hansson, Union City, CA; Charles A. Ocheret, Scarsdale, NY;				
<b>** CONTINUING DATA *****</b> This appln claims benefit of 60/187,800 03/08/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> ** 11/09/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> NJ	<b>SHEETS DRAWING</b> 21	<b>TOTAL CLAIMS</b> 34
<b>INDEPENDENT CLAIMS</b> 4				
<b>ADDRESS</b> 2101				
<b>TITLE</b> SYSTEM AND METHOD FOR PRICING AND ALLOCATION OF COMMODITIES OR SECURITIES				
<b>FILING FEE RECEIVED</b> 1295	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	